Improving chemoradiotherapy with nanoparticle therapeutics

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Abstract: Chemoradiotherapy has been a key treatment paradigm in cancer management. One of the main research objectives in cancer research has been to identify agents and strategies to improve the therapeutic index of chemoradiation. Recent development of nanoparticle (NP)-based chemotherapeutics offers a unique opportunity to improve the delivery of chemotherapy, which can in turn improve chemoradiotherapy's efficacy while lowering toxicity. NP-based chemotherapeutics also possess several characteristics that are well suited for chemoradiotherapy. Therefore, NP chemotherapeutics hold high potential in improving the therapeutic index of chemoradiotherapy. This manuscript reviews the NP properties that are favorable for chemoradiation and the rationale to utilize nanotherapeutics in chemoradiation. This review also discusses the preclinical and clinical data on using NP therapeutics in chemoradiotherapy.

Key Words: Chemoradiation; nanomedicine; nanoparticle (NP) chemotherapeutics; NP radiosensitizer

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Introduction

Nanoparticle (NP) therapeutics are increasingly under investigation and development for cancer treatment (1). NPs possess unique properties, such as preferential accumulation in tumors and low distribution in normal tissue, making them ideally suited for the treatment of tumors. While current preclinical and clinical investigations on NP chemotherapeutics have focused on systemic treatment, a key application of these drugs lies in improving chemoradiotherapy. Chemoradiotherapy has been an important treatment paradigm in oncology. While it has improved survival and disease control, chemoradiotherapy also has significantly higher toxicities when compared to sequential treatment or either treatment alone (2-5). Therefore, there has been strong interest in improving the therapeutic ratio of chemoradiotherapy. One strategy is to improve the delivery of chemotherapy to the tumors while reducing dose to normal tissue. Unfortunately, previous efforts using traditional drug delivery techniques have not been successful (6). However, the recent development of carriers for NP drug delivery offers a unique opportunity. Not only is the biodistribution of NPs well-suited for chemoradiotherapy, their controlled drug release property has the potential to increase the synergy between chemotherapy and radiotherapy, further enhancing therapeutic efficacy. In this review, we will discuss the importance of chemoradiotherapy, why NP therapeutics hold high potential in improving chemoradiotherapy, and preclinical and clinical studies that have evaluated NP therapeutics in chemoradiotherapy.

The chemoradiotherapy paradigm

Over the last three decades, the concurrent administration of chemotherapy and radiotherapy, also called chemoradiotherapy, has emerged as an important treatment paradigm in the curative management of many solid tumors (2). The origin of chemoradiotherapy dates back
has not shown significant improvement in pathological response or survival. In head and neck cancer, the addition of cetuximab to platinum-based chemoradiotherapy did not improve clinical outcome (17). Thus, there is a strong need for novel approaches and the development of more effective agents that can improve the therapeutic ratio of chemoradiotherapy.

**NP properties that are uniquely suited for chemoradiotherapy**

NP therapeutic carriers possess several important characteristics that are well-suited for the delivery of agents to improve chemoradiotherapy.

**The enhanced permeability and retention (EPR) effect**

NPs preferentially accumulate in tumors through the EPR effect, leading to high intratumoral drug concentrations (18,19). Tumor vasculature is generally disorganized, with aberrant branching and leaky walls (20-25). Tumor angiogenesis results in rapid proliferation of endothelial cells and decreased number of pericytes, which leads to porous and leaky blood vessels. These pores can range from 100 nm to several hundred nanometers in diameter, as compared to normal vessel junctions of 5-10 nm (18,21,25). Such large pores lead to higher vascular permeability and hydraulic conductivity in tumors, enabling macromolecules such as NPs to extravasate into tumor interstitium (20,21).

In normal tissue, macromolecules can be effectively cleared by the lymphatic system. However, tumors have impaired and inefficient lymphatics, which in turn causes the accumulation of NPs. This combination of enhanced vascular permeability and defective lymphatic drainage, is called the EPR effect (22,24). The effects of high intratumoral drug concentration can be further magnified by radiotherapy, which is spatially targeted to the tumor. Lastly, radiotherapy can also enhance the effects of EPR, leading to more preferential accumulation of NPs at the tumor. Several preclinical studies have shown that NP accumulation is higher in irradiated tumors than non-irradiated tumors (26,27). Thus, NP chemotherapeutics can improve the therapeutic efficacy of chemoradiotherapy, with the potential for higher rates of complete response (CR) and survival. Moreover, a significant increase in therapeutic efficacy can lead to reductions in radiotherapy and chemotherapy doses, which
in turn can reduce treatment toxicity.

**Unique biodistribution of NP therapeutics**

The unique biodistribution of NP therapeutics differs from that of small molecule drugs and is favorable to application in chemoradiation. Due to their macromolecule size, NPs are unable to penetrate normal vasculature and capillaries. Therefore, NP chemotherapeutics generally lead to lower drug dose to normal tissues such as skin, lung, and heart when compared to their small molecule counterparts (22). Given that most of the toxicity from chemoradiotherapy is the result of normal tissue receiving chemotherapy and radiotherapy, the lower drug concentrations in normal tissue provided by NPs can significantly reduce treatment toxicity. Unlike conventional chemotherapy agents that are cleared via multiple routes of excretion from the body, NPs are mainly removed from the circulation via the mononuclear phagocytic system (MPS) and hepatic excretion (28). MPS processing (formerly known as the reticuloendothelial system) may lead to the excretion of NPs in bile or accumulation within the Kupffer cells of the liver and macrophages in the spleen (28,29). The distinctive properties of NP accumulation and clearance enhance the therapeutic ratio by reducing the amount of systemic toxicity experienced compared to small molecule therapeutics (30).

The advantages of NP biodistribution are illustrated in Figure 1. Using chemoradiotherapy for non-small-cell lung cancer (NSCLC) as an example, radiotherapy is aimed at the primary tumor and areas that may harbor macro- and micrometastatic cancers (clinical target volume or CTV). A larger volume of normal tissue is given a significant dose of radiation due to entry and exit of radiation beams and to compensate for motion during treatment of these areas. Since systemically administered small molecule drugs distribute widely in normal organs and tumors, the normal tissue that receives both chemotherapy and radiotherapy can lead to significant toxicities. In contrast, NP therapeutics will mostly concentrate within tumors, leading to improved therapeutic efficacy and lower toxicity.

**NP pharmacokinetics and controlled drug release**

The pharmacokinetics and drug release properties of NP therapeutics are also favorable for chemoradiotherapy. In general, NPs have longer circulation half-lives and provide higher drug exposure than their small molecule counterparts (31,32). For example, BIND-014, a NP formulation of docetaxel, has an area under the curve (AUC) that is more than 100 times higher than docetaxel at the same dose. In addition, current NP therapeutics under clinical investigation, such as CRLX-101 and BIND-014, release their cargo in a controlled, sustained release fashion (31,32). Both increased drug exposure and prolonged drug release can increase the synergistic effects between chemotherapy and radiotherapy in tumor cells, improving therapeutic efficacy. Increased drug exposure improving chemoradiotherapy has been demonstrated in a large
randomized phase III clinical trial in chemoradiotherapy for rectal cancer (33). Protracted infusional 5-flurouracil (5-FU) improved the therapeutic efficacy and survival when compared to bolus 5-FU. Such data suggest that the use of NP therapeutics over their small molecule counterparts should have similar effects on chemoradiotherapy.

Preclinical and clinical studies evaluating NP therapeutics in chemoradiotherapy

Liposomal therapeutics

Liposomal formulations of doxorubicin were the first NP therapeutics that were developed for the clinical treatment of cancer (34). Several preclinical studies evaluated the use of liposomal doxorubicin in chemoradiotherapy. Harrington et al. were the first to study liposomal doxorubicin and liposomal cisplatin with concurrent radiotherapy in a mouse xenograft model of head and neck cancer (35). The authors demonstrated that liposomal doxorubicin was more effective than doxorubicin in delaying KB tumor growth with both single fraction (4.5 or 9 Gy) and fractionated radiotherapy schedules (9 Gy in 3 fractions). In the study of liposomal doxorubicin in a murine xenograft model of osteosarcoma, Davies et al. also found that liposomal doxorubicin and radiotherapy acted synergistically to enhance the antitumor effect and delay tumor growth (36). Furthermore, the investigators demonstrated that radiotherapy improved the biodistribution of liposomal doxorubicin, with increased tumor uptake of the drug by a factor of two to four after radiotherapy. Such novel findings suggest that the improved distribution is partially responsible for the higher therapeutic efficacy of liposomal doxorubicin.

Clinically, liposomal doxorubicin has been evaluated in several early phase clinical trials of chemoradiotherapy. Koukourakis and colleagues conducted most of these studies. His group first reported a Phase I trial on the use of liposomal doxorubicin (Caelyx) with conventionally fractionated radiotherapy for locally advanced NSCLC and head and neck cancer (37). In this small study of 30 patients (15 for each disease), the investigators found 20 mg/m² of Caelyx was the maximum tolerated dose (MTD) in head and neck cancer chemoradiotherapy and 25 mg/m² was the MTD for NSCLC chemoradiotherapy treatment. The dose-limiting toxicities were mucositis for head and neck cancer treatment and esophageal toxicity for NSCLC treatment. In a follow-up phase I/II study, patients with inoperable (stage IIIb; T3,4-N2,3-M0) NSCLC were enrolled to receive Taxotere, Caelyx and radiotherapy (38). Patients were also given amifostine to minimize toxicity. Grade 3 and higher esophagitis, which was the dose-limiting toxicity, developed in 9 out of 25 patients (36%). The response rates were 40% CR and 87% partial response (PR), which were encouraging for further evaluation. In addition to above mentioned studies, liposomal formulations of doxorubicin have also been investigated in chemoradiotherapy for head and neck cancer, cervical cancer, recurrent breast cancer and bladder cancer. These clinical studies are outlined in Table 1 (39-45).

Despite promising results from these small clinical trials, liposomal doxorubicin has not been adopted into chemoradiotherapy treatment. One main reason is that small molecule doxorubicin has not been utilized in chemoradiotherapy for any cancer treatment. Furthermore, while the clinical results from the liposomal doxorubicin are promising, they are not far superior to standard chemoradiotherapy regimens. For example, the response rates of chemoradiotherapy with liposomal doxorubicin are comparable to that of cisplatin with radiotherapy for head and neck cancer.

Liposomal formulations of cisplatin, while not as extensively studied as doxorubicin, have also been investigated in a few preclinical and early-phase clinical trials. Nanoliposome encapsulated cisplatin was found to have a greater radiosensitizer effect in in vitro A549 cells and in vivo Lewis lung carcinoma when compared to cisplatin alone (46). The liposomal formulation of cisplatin, Lipoplatin, was also assessed in combination with radiotherapy for the treatment of F98 glioma orthotopically implanted in Fischer rats. The toxicity of the Lipoplatin was significantly less than cisplatin, though unexpectedly there was lower tumor uptake of the liposomal formulation. This was not the case for Lipoxal, the liposomal formulation of oxaliplatin. Tumor uptake was 2.4-fold more than with the liposome-free oxaliplatin (47). Thus, encapsulating platinum compounds in a liposomal formulation may allow for improved drug delivery to the tumor and reduction of adverse systemic and local effects, thus enhancing the therapeutic ratio and tumor response to treatment with chemoradiotherapy.

Clinical data evaluating liposomal platinum compounds is also emerging. In 20 head and neck cancer patients treated at the University of Pennsylvania, Rosenthal et al. evaluated liposomal cisplatin as a radiosensitizer concurrent with conventionally fractionated radiotherapy. After minimizing transfusion reactions by slowing the infusion rate and increasing the dilution of the formulation, the
liposomal cisplatin dose was successfully escalated, with similar treatment-related toxicities to that of concurrent cisplatin. For example, grade 3 skin and mucosal toxicities within the radiation field were minimal, occurring in only 1 and 6 patients, respectively. Furthermore, 11 of the 20 patients (55%) had an initial CR at the primary tumor site after completing treatment (48). In a study of 12 patients with locally advanced gastric cancer who received Lipoplatin, 5-fluorouracil and concurrent radiation therapy, high CR rates and minor toxicities were also observed. CR rates improved from 33% in patients treated with four cycles to 80% in patients treated with five cycles of combined chemoradiotherapy with Lipoplatin, though the total number in each group was small at six and five patients, respectively. Again, the toxicity experienced was comparable with conventional cisplatin, and performance status actually had a net improvement at 2 months from the end of treatment (49). As with liposomal doxorubicin, the early-phase results of Lipoplatin are encouraging and await validation in larger clinical trials.

**Polymer drug conjugates**

One of the strategies to improve the pharmacokinetics of chemotherapeutics is to conjugate them to hydrophilic polymers to increase their circulation time. These drug-polymer conjugates can be considered NPs as they are nanometers in size. However, drug-polymer conjugates are generally smaller than 10 nm and thus do not possess some of the NP properties, such as controlled release, that are mentioned above. Current drug-polymer conjugate research has centered around the N-(2-hydroxypropyl)methacrylamide (HPMA) polymer and polyethylene glycol (PEG). Several HPMA conjugates, including doxorubicin, camptothecin and paclitaxel have been evaluated in early phase clinical trials (50). The results are encouraging. There have been several preclinical reports studying HPMA drug conjugates in chemoradiotherapy. Lammers et al. studied HPMA-doxorubicin and HPMA-gemcitabine conjugates

**Table 1 Clinical studies of liposomal doxorubicin with chemoradiotherapy**

<table>
<thead>
<tr>
<th>Investigators</th>
<th>Patient population</th>
<th>Treatment regimen</th>
<th>Outcomes</th>
<th>Toxicity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Koukourakis et al., 1999 (35)</td>
<td>NSCLC: 15; HNC: 15</td>
<td>Caelyx and CFRT</td>
<td>NSCLC CR: 21%; HNC CR: 75%</td>
<td>DLT: Mucositis</td>
</tr>
<tr>
<td>Koukourakis et al., 2002 (36)</td>
<td>NSCLC: 25 (stage IIIB)</td>
<td>Caelyx, Docetaxel, CFRT and Amifostine</td>
<td>CR: 40% (6 of 15); CR/PR: 87% (13 of 15)</td>
<td>Grade 3 Esophagitis: 36%; Grade 3 Hematologic: 0%</td>
</tr>
<tr>
<td>Varveris et al., 2004 (37)</td>
<td>NSCLC: 9; HNC: 9</td>
<td>Caelyx, Cisplatin and CFRT</td>
<td>CR: 33% (6 of 18); PR: 55% (10 of 18)</td>
<td>DLT: Grade 3 Mucositis</td>
</tr>
<tr>
<td>Koukourakis et al., 2007 (38)</td>
<td>NSCLC: 31 (stage IIIB-IV)</td>
<td>hypoARC, Caelyx, and Oxaliplatin</td>
<td>CR: 39%; PR: 55%</td>
<td>Grade 3 Esophagitis: 23%; Radiation pneumonitis: 0%</td>
</tr>
<tr>
<td>Tsoutsou et al., 2008 (39)</td>
<td>NSCLC: 14 (stage IIIB-IV)</td>
<td>hypoARC, Caelyx, and Vinorelbine</td>
<td>PR: 64%; MR: 21%; SD: 14%</td>
<td>Grade 2 Esophagitis: 43%; Grade 3 Neutropenia: 29%</td>
</tr>
<tr>
<td>Varveris et al., 2006 (40)</td>
<td>Cervix carcinoma: 24 (stage IIb-IVA)</td>
<td>Caelyx, Cisplatin, CFRT, MDR</td>
<td>CR: 29%; PR: 71%</td>
<td>Grade 3 Myelotoxicity: 21%; Grade 3 Proctitis: 21%</td>
</tr>
<tr>
<td>Koukourakis et al., 2005 (41)</td>
<td>Breast cancer: 13</td>
<td>hypoARC, Trastuzumab, Docetaxel, Caelyx</td>
<td>CR: 71%</td>
<td>(6 of 7 chemoresistant)</td>
</tr>
<tr>
<td>Nardone et al., 2012 (42)</td>
<td>Breast cancer: 10 (stage IIA/B-IIIA)</td>
<td>LDFRT, non-PEG Doxorubicin, Docetaxel</td>
<td>CCR: 50%; PCR: 10% (1 of 7)</td>
<td>Grade3/4 Breast: 0%; Cardiac: 0%</td>
</tr>
<tr>
<td>Koukourakis et al., 2007 (43)</td>
<td>Bladder cancer: 38</td>
<td>hypoARC, +/- Caelyx (n=19)</td>
<td>CR: 84%</td>
<td>Grade 2 Dysuria: 5.5%; Grade 2 Incontinence: 2.8%; Grade 1 Frequency: 7.8%</td>
</tr>
</tbody>
</table>

Caelyx, liposomal doxorubicin; CFRT, conventionally fractionated radiotherapy; CCR, clinical complete response; CR, complete response; DLT, dose-limiting toxicity; HNC, head and neck cancer; hypoARC, hypofractionated accelerated radiochemotherapy with amifostine cytoprotection; LDFRT, low-dose fractionated radiotherapy; MDR, medium-dose rate brachytherapy; MR, minimal response; NSCLC, non-small cell lung cancer; PCR, pathologic complete response; PR, partial response; RT, radiotherapy; SD, stable disease
with radiotherapy in ATI rat prostate carcinoma tumor model (26). The investigators found that radiotherapy can increase the tumor accumulation of polymer-drug conjugates. Moreover, the polymer-drug conjugates functioned synergistically with radiotherapy in delaying tumor growth. In a separate report by the same group, the investigators showed that radiotherapy can consistently increase the intratumoral concentration of HPMA copolymers independent of the tumor model (27). Such observations suggested that the improved therapeutic efficacy from nanotherapeutics in chemoradiation can be due to both EPR and radiation induced preferential accumulation. Another polymer-drug conjugate that has been studied clinically is poly(L-glutamic acid)-paclitaxel (PG-TXL). It was evaluated preclinically in chemoradiation by Li et al. in mouse xenograft model of ovarian cancer (51). PG-TXL was found to act synergistically with radiotherapy in controlling tumor growth. This preclinical data lead to two clinical studies evaluating PG-TXL as a radiosensitizer. The first study was phase I trial using paclitaxel poliglumex with concurrent radiotherapy in esophageal and gastric cancer (52). The investigators identified 70 mg/m^2/wk as the MTD. In a recently published trial, the investigators studied paclitaxel poliglumex with temozolomide and radiotherapy in high grade glioma (53). Unfortunately, combining temozolomide and paclitaxel poliglumex lead to high rates and prolonged (5 months) hematologic toxicity. Therefore, the proposed treatment regimen is deemed unsafe.

**NP albumin-bound paclitaxel**

Abraxane, an albumin-bound 130-nm NP containing paclitaxel, is clinically approved for the treatment of metastatic breast cancer (54). This NP therapeutic demonstrated an improved safety profile and increased efficacy, with a 25% increase in overall response to treatment, when compared to paclitaxel alone (55,56). Preclinical data of mice with ovarian or mammary carcinomas treated with Abraxane, radiotherapy, or a combination of both, were designed to assess antitumor efficacy and normal tissue toxicity. The albumin bound paclitaxel improved radiosensitization, lowering the dose to achieve 50% tumor cure from 54.3 to 35.2 Gy. Significantly, there was no increase in normal tissue toxicity to rapidly and slowly proliferating cells. The greatest radiosensitiveness of the tumor to treatment occurred when radiation was given two to three days after Abraxane was administered (57). Currently, a number of phase III chemoradiotherapy clinical trials in lung, esophageal, head and neck, endometrial and cervical cancer are evaluating the concurrent administration of Abraxane with radiotherapy.

**Polymeric NP drugs**

Current clinical and preclinical research efforts on nanotherapeutics have focused on polymeric NP platforms. Several polymeric NPs have been evaluated clinically with one formulation (Genexol-PM) that's approved for clinical use (Korea) (58,59). Although none of these polymeric NPs have been studied clinically in chemoradiation, there are several preclinical studies on this approach. Our group has evaluated Genexol-PM, a polymeric micelle formulation of paclitaxel, with external beam radiotherapy in a mouse model of non-small cell lung cancer (60). Genexol-PM is compared to Taxol at equivalent doses of paclitaxel. We found that chemoradiotherapy with Genexol-PM is more effective than that with Taxol 

**NP delivery of therapeutic radioisotopes**

Although nanomedicine research efforts have mainly...
focused on the delivery of chemotherapeutic radioisotopes using NPs. Recently, several antibody-radioisotope conjugates have been approved for clinical use in cancer (65). Some studies involving therapeutic radionanoparticles have been theoretical and qualitative in nature. Bouchat et al. showed that radioactive NPs with ~100 Y-90 atoms per NP can substantially increase the biologic effective dose deposited to a solid tumor (66). Hrycushko et al. have modeled liposomes tagged with both beta emitters Re-186 and Re-188 for the post-surgical treatment of breast cancer, demonstrating this to be a viable method of delivering focal radiation (67,68). Recently, Khan et al. demonstrated the antitumor effect of dendrimer NPs carrying therapeutic loads of Au-198. In a melanoma model, tumors of mice injected with the Au-198 NP decreased in size by 45% compared to the untagged NP with no observed toxicity (69). Our own group has described a combined modality NP, ChemoRad NP (70). The NP is composed of a polymeric core with a lipid monolayer shell. It can encapsulate hydrophobic chemotherapies such as docetaxel and chelate therapeutic isotopes such as yttrium. We were able to show that ChemoRad NP containing docetaxel and Y-90 is more effective against ovarian cancer than treatments with small molecule drugs or NPs containing single agent in a ovarian peritoneal metastasis model (71). Another study reported on a liposome particle combining Indium-111 and vinorelbine (72). The agent showed antitumor efficacy in mice with colorectal carcinoma xenografts. A key concern for this strategy is the potential toxicity on the main clearance organ of NPs, the liver. Further preclinical research is needed to validate the safety of delivering therapeutic radioisotopes using NPs.

Summary and future directions

NP therapeutics possess several characteristics that are well suited for application in chemoradiotherapy. Preclinical studies comparing NP-therapeutics to their small molecule counterparts have all shown that NP therapeutics are more effective and potentially less toxic. Thus, there is strong preclinical data to support clinical investigations of nanotherapeutics in chemoradiation treatment. Existing clinical data evaluating NP therapeutics have been conducted with either drugs that are not typically utilized in chemoradiation (doxorubicin) or with NP therapeutics that have not successfully completed clinical development. Current clinical studies involving the next generation of NP-based therapeutics have mainly focused on systemic treatment. Hence, there is a clear need for more clinical trials studying these novel drugs in the chemoradiation context. Such clinical investigations can facilitate the clinical adoption of NP therapeutics as well as improve the current chemoradiation paradigm. There is also a strong need for preclinical research studying NP therapeutics for chemoradiation. Such efforts should focus on identifying the optimal NP properties, such as size, drug release kinetics and pharmacokinetics for applications in chemoradiation. More studies are also needed to identify novel agents, such as wortmannin, that can improve the therapeutic ratio of chemoradiation. Such preclinical data can facilitate the clinical development of NP therapeutics specifically for chemoradiotherapy application.

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