



# A study of EGFR wild-type non-small cell lung cancer ALK genetic mutation

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**Background:** the positive rate and clinicopathological features of EML4-ALK, the relationship between EGFR mutations in non-small cell lung cancer (NSCLC) patients are the state of confusion, automatic immunohistochemistry to detect the expression of ALK protein, to provide reference for clinical diagnosis and treatment.

**Methods:** From October 2011 to December 2014, 300 NSCLC archived samples in Guangxi medical university affiliated tumor hospital pathology department were selected. The EGFR genetic mutation of 300 lung cancer samples were detected by amplification refractory mutation system (ARMS) (Qiagen Inc., Valencia, CA, USA). The detection results were divided into EGFR mutant type and wild type group, and the protein expression of EML4-ALK fusion gene was detected by immunohistochemistry (IHC) (Ventana kit, Ventana Medical Systems, Inc., Mountain View, CA, USA). Statistical methods (enumeration data were tested by Chi-square test and Fisher's exact test) were performed to compare the results between groups, and correlation analysis was performed by Spearman test.

**Results:** (I) The mean age of 300 NSCLC patients was 58.47 years, 156 patients were younger than 60 years, and 144 patients were older than 60 years. Gender: 178 patients were male, 59.33%; 122 patients were female, 40.67%. Smoking history: 186 patients had no smoking history, 62.00%; 114 patients had smoking history, 38.00%. Pathological type: adenocarcinoma 239 cases, 79.67%; squamous carcinoma 36 cases, 12.00%; adenosquamous carcinoma 10 cases, 3.33%; neuroendocrine carcinoma 2 cases, 0.67%; carcinoid 1 case, 0.33%; sarcomatoid carcinoma 3 cases, 1.00%; lymphoepithelial carcinoma 1 case, 0.33%; bronchiolo-alveolar carcinoma 1 case, 0.33%; the other 6 cases, 2.00%. Clinical stages: stage IV 69 cases, stage III 91 cases, stage II 46 cases, and stage I 94 cases; (II) among 300 NSCLC samples, 130 cases were detected EGFR genetic mutation, the mutant rate was 43.33%, among which 58 cases were 21 exon L858R mutation (44.62%), 1 case was 21 exon L861Q mutation (0.77%), 69 cases were 19 exon mutation (53.08%), 1 case was 19del + 20T790M amphimutation and 1 case was 20T790M + L858R amphimutation; (III) among 300 NSCLC samples, 13 cases EML4-ALK expression were positive. The difference of age, gender, smoking history, pathological stage, tissue differentiation and lymph node metastasis had no statistical significance between EML4-ALK fusion gene positive and negative patients; (IV) the clinical feature constituent ratio of 13 cases EML4-ALK fusion gene positive patients indicated that the positive cases were more common in young (76.92%), non-smoking (84.62%), male (69.23%), and adenocarcinoma (100.00%) patients; (V) among 13 EML4-ALK fusion gene positive patients, 1 case's EGFR was mutant type, 12 cases' were wild-type. The correlation analysis of EML4-ALK and EGFR in NSCLC patients indicated that they were negative correlated, and the difference had statistical significance ( $R = -0.153$ ,  $P = 0.008$ ).

**Conclusions:** (I) Using fully automatic immunohistochemistry (Ventana) to detect ALK gene was a method easy and simple to handle, cheap, standard, easy to interpret and could be good quality control. This method

was suitable for clinical application; (II) EML4-ALK fusion gene was a new NSCLC molecular subtype after EGFR. *ALK* gene positive cases were more common in young, nonsmoking and adenocarcinoma patients, this could provide certain reference for clinical screening potential benefit population of EML4-ALK mutation; (III) *ALK* gene rearrangement was negative correlated with EGFR; and ALK rearrangement could coexist with EGFR mutation.

**Keywords:** Non-small cell lung cancer (NSCLC); EML4-ALK; immunohistochemistry; EGFR wild-type

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## Introduction

Lung cancer is one of the most common malignancies in the world. The morbidity and mortality are the first among all cancers (1). About 80–85% of lung cancers are non-small cell lung cancer (NSCLC) patients. A total of 70% of patients have been diagnosed at the advanced stage, those lose the chance of surgical treatment. The first-line platinum containing double dose chemotherapy has limited efficacy. Targeted therapies for genes such as *EGFR*, *ALK* and *KRAS* have become the hotspot of attention and study in lung cancer treatment for the last 10 years. To clarify the positive rate and clinicopathological features of EML4-ALK, the relationship between EGFR mutations in NSCLC patients, we selected 300 tissue samples which come from NSCLC operation or biopsy. Using automatic immunohistochemistry to detect the expression of ALK protein, to provide reference for clinical diagnosis and treatment.

## Methods

### General information

Three hundred cases of NSCLC diagnosed were collected by Affiliated Tumor Hospital of Guangxi Medical University in October 2011–December 2014, including 178 males (178/300, 59.33%) and 122 females (122/300, 40.67%), minimum age 33 years, maximum age 87 years, average age 58.5 years; adenocarcinoma 239 cases (239/300, 79.67%), squamous carcinoma 36 cases (36/300, 12%), mixed carcinoma 10 cases (10/300, 3.33%), other carcinoma 15 cases (15/300, 5%). Han 238 cases (79.33%), Zhuang 56 cases (18.67%), other nationalities 6 cases (2%).

### Pre-processed of samples

Fresh tumor tissue was fixed with 4% neutral formaldehyde after 1 h *in vitro*, the fixed liquid volume was 10 times

of tissue volume, the fixation time was 6–48 h, paraffin imbedded, section.

### *EGFR gene mutation in NSCLC was detected by ARMS (amplification refractory mutation system)*

- (I) Examined HE sections of the samples were cut by the tumor region selected by the pathologist for gene testing;
- (II) DNA extraction: paraffin block in selected area was cut 10–15 pieces of paraffin sections, thick 5 mm, dewaxed by xylene, soaked by anhydrous ethanol, washed by water, dried. Then add lysis liquid and 55% Proteinase k, stay overnight in the water bath box. centrifugation supernatant solution, extract DNA according to the instructions;
- (III) Detection of mutations of *EGFR* gene: apply reagent kit which developed by Xiamen Aide Biological Medicine Science and Technology Co. Ltd. for detecting human *EGFR* gene mutation (PCR, ARIVIS). Detecting of *EGFR* gene (exon 18–21) including 29 species of mutations, specific steps according to instructions of reagent kit.

### *Tissue chip making*

Observing H&E sections of the tumor tissue samples under microscope. Taking to the diameter tissue which standard is 1.5 mm. Preparing 60 lattices which spacing is 2 mm at each tissue chip.

### *Using automatic immunohistochemistry to detect the expression of ALK protein*

#### Materials

All of immunohistochemistry including anti ALK (D5F3)

monoclonal antibody, OptiView DAB kit, enhanced amplification kit was produced by Roche/Ventana. Instrument of immunohistochemical staining used to dyeing were BenchMark XT produced by Roche/Ventana.

Tissue chip made by Pantomics Inc., slicing machine; tablet press 40 °C; baking machine 65 °C; marking machine and ribbon; plastic dyeing rack and dyeing vat.

### Dyeing process

Section 65 °C, baking 1 h. The label: labeling according to the requirements, placing the slices in the dyeing drying rack. Placing according to the requirements of the Ventana Benchmark XT which requiring soak for 10% bovine 15 min (Nestle milk), water rinse. The primary antibody, DAB kit, hematoxylin, back to blue liquid on the reagent shelf, attention, bubble label row clean dry reagent sample in water. Add the rest of the required reagents, such as LCS, EZ Prep, Reaction Buffer, SSC\*2, CC1, etc. Click on the "RUN" icon and complete the information confirmation before the operation; ALK automatic dyeing.

### Immunohistochemical interpretation

First, slices were quality control evaluated through 2 slices to detect. Both 2 slices showed proper staining before they could interpret the current case. The tissue microarray stained with 1 g, a rabbit clone negative quality control, must be negative staining except for specific background staining. The result uses two-item pronunciation to judge, ALK positive: there is strong granular cytoplasmic staining in cancer cells (any percentage of positive tumor cells). Nonspecific staining factors should be excluded; ALK negative: there is no strong granular cytoplasmic staining in tumor cells.

### Statistical analysis

Using statistical methods (enumeration data using chi square test) to analysis the results of the inter group rate and using spearman to analysis correlation.

## Results

### EGFR Mutation in NSCLC patients

Three hundred cases of NSCLC patients, including EGFR gene mutation 130 (130/300, 43.33%); exon 21 mutation (L858R) 58 (58/130, 44.62%), exon 21

mutation (L861Q) (1/130, 0.77%), exon 19 deletion mutation (69/130, 53.08%), 19del + 20T790M double mutant 1 (1/130, 0.77%), 20T790M + L858R double mutant 1 (1/130, 0.77%).

### Relationship between EGFR gene mutation and clinical features

EGFR gene mutation 130, including female 67 (67/122, 54.92%), male 63 (63/178, 35.39%), the mutation rate of female patients is higher than male patients (54.92% vs. 35.39%,  $P < 0.05$ ); the mutation rate of smoking patients is lower than non-smoking patients (28.95% vs. 52.15%,  $P < 0.05$ ), the mutation rate of adenocarcinoma is higher than non-adenocarcinoma (49.37% vs. 19.67%,  $P < 0.05$ ), the mutation rate of advanced age ( $\geq 60$  years) is higher than low age ( $< 60$  years) (46.53% vs. 40.1%,  $P > 0.05$ ), the mutation rate of Han patients is lower than Zhuang patients (41.60% vs. 46.43%,  $P > 0.05$ ); the mutation rate of right lung is lower than left lung (39.88% vs. 48.41%,  $P > 0.05$ ), the mutation rate of stages I and II is lower than stage III and IV (37.88% vs. 48.05%,  $P > 0.05$ ), the difference was not statistically significant.

### Expression of EML4-ALK

Three hundred cases of NSCLC patients, EML4-ALK positive 13 cases (13/300, 4.33%), including male 9, female 4. The age range 33–74 years, the average age 51.46 years,  $\geq 60$  years: 3 cases,  $< 60$  years: 10 cases; no-smoking: 11 cases, smoking: 2 cases; adenocarcinoma 13 cases, alveolar type 2 cases, papillary type 1 case, the main tubular type 1 case, adherent type 2 cases, mucinous adenocarcinoma 2 cases, mixed type adenocarcinoma 5 cases; stage I 3 cases, stage II 3 cases, stage III 4 cases, stage IV 3 cases; lymph node metastasis 10 cases, no-lymph node metastasis 3 cases. ALK rearrangement of NSCLC was not significantly correlated with sex, age, smoking history, histological stage, histological type and lymph node metastasis ( $P > 0.05$ ), and there was no significant difference between the two groups.

### Relationship of expression levels of EML4-ALK and EGFR in NSCLC patients

EGFR gene mutation 130, including ALK rearrangements 1 (1/130, 0.77%). EGFR wild-type 170, including ALK rearrangements 12 (12/170, 7.06%), EGFR gene mutations coexist with EML4-ALK positive expression 1,

**Table 1** Correlation analysis of EML4-ALK and EGFR

Type	EML-ALK	EGFR	R value	P value
Positive	1	12	-0.153	0.008
Negative	129	158	-	-

the application of spearman analysis, there was a negative correlation between the two group ( $R = -0.153$ ,  $P = 0.008$ ), the difference was statistically significant. But fewer samples, the relationship between EGFR and ALK positive mutation to increase the sample size to further verify (see *Table 1*).

## Discussion

In the past 10 years, molecular targeted therapy has achieved a surprising effect in the treatment of NSCLC, and greatly promoted the targeted treatment of NSCLC. In 2007, Soda (2) in NSCLC was first found in animal echinoderm microtubule associated protein 4-anaplastic lymphoma kinase (EML4-ALK) in tumor samples fusion gene. The positive rate of EML4-ALK fusion gene in NSCLC is 0.4–11.6%, average 3.4% (2-5), the expression rate of the eastern countries, Korea, Japan and China respectively was 3.6%, 2.9–11.6% and 2–6.7% (5-7), and the expression rate of the western countries, Caucasus was 0.4–2.7% (4). The total positive rate of Europe was 3.2% (65/2,011). There is no significant difference in the overall positive rate of EML4-ALK between eastern and Western populations. In this study, when the clinical pathological features of the subjects were not screened, the positive rate of *ALK* fusion gene was 4.33% (13/300), which was basically consistent with the overall positive rate in Asia. According to the report, EML4-ALK fusion genes are more prevalent in youth, nonsmoking or less smoking, women in NSCLC patients (8). The study found that female with the ALK mutation rate was 3.28% (4/122) lower than male (5.06%); no-smoking with the ALK mutation rate was 5.91% (11/186) higher than smoking (1.75%), the aged ( $\geq 60$  years) with the ALK mutation rate was 2.08% (3/144) lower than the aged ( $< 60$  years) 6.41% (10/156), The difference was not statistically significant ( $P > 0.05$ ). The reports were small sample. The pathological types of 13 ALK positive patients were adenocarcinoma, consistent with the reports.

With clinical features of EGFR mutations, reports have shown that EGFR mutations rate was about 30% in China NSCLC patients. Female, no-smoking were more common (2,9-10). The data showed that the mutation

rate of EGFR in NSCLC was 44.29% (128/289). Female 55.56% (65/117), adenocarcinoma 50.2% (116/231), and no-smoking 53.07% (95/179). The relationship between EGFR mutations and ALK positivity, many reports show that EGFR mutations are mutually exclusive and not coexisting with ALK positive (11). But there was also exception, China scholar Zhang (12) also reported the detection of double mutations of exons EGFR-19 and EML4-ALK in female patients with adenocarcinoma in pathological specimens. In this study, 1 cases of EGFR mutation and ALK positive coexistence were found. The mutation type of EGFR was 19-del deletion mutation. Application Spearman analysis showed that there was a negative correlation between the two ( $R = -0.153$ ,  $P = 0.008$ ). At present, these common mutations are still rare events, but the relationship between EGFR and EML4-ALK in NSCLC needs to be further confirmed.

At present, the treatment of all cancer such as NSCLC, hepatocellular carcinoma (13,14), has entered individualized treatment. For the targeted treatment of NSCLC, should follow the order of EGFR and ALK, and according to the mutation, we should give the targeted drug treatment. ALK inhibitors, crizotinib, and two generation Ceritinib bring new hope for ALK positive NSCLC patients. With the development of new molecular targets for NSCLC and the continuous development of targeted drugs, individualized targeted therapies (15) will have a broader prospect.

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## Footnote

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr.2017.10.36>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study is approved by Wu Jieping Medical Foundation (No. 320.6750.14306). Written informed consent was obtained from the patient for publication of this manuscript and any accompanying images.

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