



Hypofractionation in prostate cancer radiotherapy

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Abstract: Animal and clinical experiments in France in the 1920's suggested that radiotherapy delivered in a number of daily dose fractions, spread out over a period of several weeks, resulted in better tumor control for a given level of normal tissue toxicity than the application of the radiation in a single large dose. In the 1980's it was shown that there is a systematic difference in the fractionation dependence of late responding normal tissues and early responding tissues both normal and malignant, probably due to a difference in the proportion of dividing cells. In 1999, it was pointed out that, while this was true of most tumors, it was generally not the case for prostate cancer because it is particularly slow growing. Using data from both external beam radiotherapy and brachytherapy it was shown that prostate cancer responds to fractionation in a manner more similar to a late responding tissue than an early responding tissue. This led to the conclusion that much smaller numbers of fractions than usually used (with an appropriately reduced total dose) should be equally effective in treating prostate cancer, but with the associated advantages in cost, logistics and patient convenience. Many large scale randomized clinical trials have been completed in the past 18 years to test this concept, the conclusion being that that moderate hypofractionation, typically involving 15 to 25 fractions with doses per fraction of 2.5 to 3.5 Gy, is not inferior to conventional fractionation patterns involving 40 to 45 fractions with doses per fraction around 2 Gy. More recently extreme hypofractionation is being investigated, typically involving 4 to 7 fractions with much higher doses per fraction. It is too early to draw conclusions about extreme hypofractionation, but early toxicity results raise concerns.

Keywords: Prostate cancer radiotherapy; fractionation; hypofractionation

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Introduction

There is much debate amongst historians about who was first to use ionizing radiation for the treatment of cancer, with various claims from both sides of the Atlantic. By contrast, there is general agreement that radiotherapeutic practice during the first two decades of the 20th century was dominated by the German School at Erlangen, which advocated the use of a single “castrating” dose of X-rays (1). Considering the relatively primitive equipment available at the time, it is not surprising that the clinical results were poor. By the 1930's animal experiments at the Institute

Curie in Paris suggested that spreading the dose over a period of several weeks could result in better tumor control for a given normal tissue toxicity. This was followed by extensive clinical studies on the effects of treatment duration, culminating with publications from Coutard showing results for the treatment of various head and neck tumors that were clearly superior to the German data (2). This set the general pattern for radiotherapy over much of the world for the best part of 50 years, with conventional wisdom requiring 20 to 40 dose fractions over a period of 3 to 6 weeks. The basis of fractionation in radiotherapy can be understood in simple terms. Dividing a dose into several

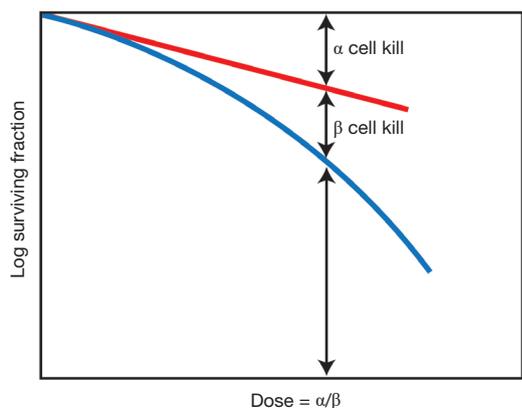


Figure 1 The biological response to radiotherapeutic doses, for example for cell killing, is a linear-quadratic function of the radiation dose, thus the cellular surviving fraction after a dose D can be written as $\exp(-\alpha D - \beta D^2)$. At low dose the dose response curve is a linear function of dose (the α cell kill component), but the response curves downward at higher doses [the β (dose squared) component]. The radiation dose at which the linear and quadratic components are equal is the α/β ratio. If this ratio is large (e.g., ~ 10 Gy), the dose-response relationship will not be very curved. This is the case for most malignant tumors as well as for acutely responding normal tissues where the α/β ratio is about 10 Gy. If this α/β ratio is small, the dose response relationship will be very curvy. This is the case for late responding normal tissues where the α/β ratio is typically around ~ 2 Gy.

fractions spares normal tissues because of repair of sublethal damage between dose fractions as well as repopulation of cells if the overall time is sufficiently long. At the same time, dividing a dose into several fractions increases damage to the tumor because of reoxygenation and reassortment of cells into radiosensitive phases of the cycle between dose fractions. The advantages of prolongation of treatment are to spare early reactions and to allow adequate reoxygenation in tumors. Excessive prolongation, however, allows surviving tumor cells to proliferate during treatment.

A landmark discovery in radiation biology in the 1980's was the recognition of a systematic difference between the fractionation dependence of acute and late reacting normal tissues (3). Late reactions are much more dependent on the size of the dose fraction than are acute reactions. In terms of the linear-quadratic relationship between dose and effect, this translates into a larger α/β ratio for early effects than for late effects. The α/β ratio is the dose at which cell killing by the linear (α) and the quadratic (β) components are equal. This is illustrated in *Figure 1*. For early effects, the α/β ratio

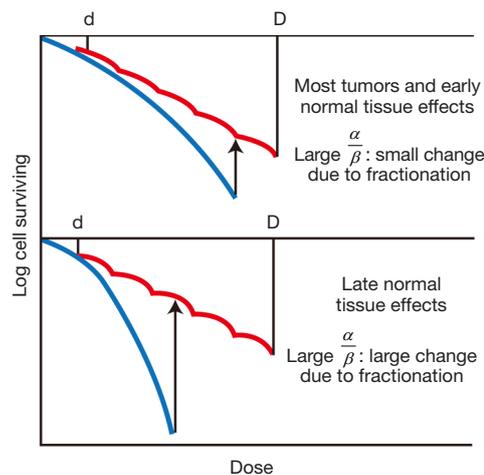


Figure 2 Top panel: when the α/β ratio is large, i.e., when the dose at which the linear and quadratic dose components are equal is large, fractionation leads to modest changes in biological effect. This is true for most malignant tumors as well as for early responding normal tissues. Bottom panel: when the α/β ratio is small, for example for late-responding normal tissue, then fractionation leads to a large change in biological effect. As discussed in the text, most prostate cancers are very slow growing, and so may respond to changes in fractionation more like a late-responding normal tissue.

is large; as a consequence, alpha dominates at low doses, so that the dose-response curve has a marked initial slope and does not bend until higher doses. The linear and quadratic components of cell killing are not equal until about 10 Gy. As a consequence, fractionation of the dose results in only a modest decrease in biological effect. By contrast, for late effects, the α/β ratio is small, so that the beta term has an influence at low doses and the dose—response curve bends at lower doses to appear more curved; the linear and quadratic components of cell killing are equal at about 2 Gy. As a consequence, fractionating the dose results in a significant sparing of the biological effect. This difference between early and late responding tissues is illustrated in *Figure 2*.

This understanding of a difference between early responding tissues (and most tumors) compared with late responding tissues led to the fashion of the 1980's and 1990's to increase the number of dose fractions to amplify the difference in response of late responding normal tissues compared with tumors; i.e., better tumor control with less normal tissue toxicity. Clinical trials were performed with as many as 70 dose fractions, only possible by planning two

Table 1 Recent estimates of the α/β ratio for prostate cancer, based on analyses of clinical data. For comparison, the original (1999) estimate was 1.5 (0.8–2.2) Gy (5)

Study	Studies analyzed	α/β (Gy) (95% confidence interval)
Brenner <i>et al.</i> 2002 (7)	Conventional fractionation + HDR brachytherapy	1.2 (0.03–4.1)
Wang <i>et al.</i> 2003 (8)	Conventional fractionation & brachytherapy	3.1±0.5 Gy
Bentzen and Ritter 2005 (9)	Hyperfractionation & conventional fractionation	1.12 (–3.3 to 5.6)
Williams <i>et al.</i> 2007 (10)	Conventional fractionation & brachytherapy	2.6 (0.9–4.8)
Proust-Lima <i>et al.</i> 2011 (11)	Conventional fractionation: rate of PSA increase	1.55 (0.46–4.52)
Miralbell <i>et al.</i> 2012 (12)	Hypofractionation & conventional fractionation	1.4 (0.9–2.2)
Vogelius and Bentzen 2013 (13)	Conventional & alternative fractionation	Several estimates <4.1 Gy
Pedicini <i>et al.</i> 2013 (14)	Hypofractionation & conventional fractionation	2.96 (2.41–3.53)
Boonstra <i>et al.</i> 2016 (15)	Conventional fractionation + brachytherapy boost	7.7 (4.1–12.5); 18.0 (8.2–∞)

HDR, high dose-rate; PSA, prostate specific antigen.

fractions per day, separated by 6 hours (4). Clinical trials showed the superiority of hyperfractionation, as it was called, for several tumor sites, confirming the validity of the radiobiological evidence. However, hyperfractionated radiotherapy never became mainstream because of the difficulty of scheduling multiple treatments per day in a busy radiation oncology department.

Hypofractionation for prostate cancer radiotherapy

In 1999, Brenner and Hall suggested (5) that the accepted argument that multiple dose fractions would reduce toxicity to late-responding tissues for a given tumor control may not necessarily apply to prostate cancer, possibly because prostate tumors often grow so slowly. They suggested a method to calculate the α/β ratio for prostate cancer by comparing published data for external beam radiotherapy with comparable data for brachytherapy. They found an α/β ratio for prostate cancer of about 1.5 Gy, comparable for that for late responding normal tissues, and much smaller than for most other tumors (5). While the reason for to difference in α/β ratios between early and late tissues has never been conclusively proven, a plausible hypothesis is that the α/β ratio of a tissue is determined by the proportion of cycling cells compared with cells that are not dividing. Because most prostate tumors are slow growing there is not a major differential in terms of cell division rates between a typical prostate tumor and the surrounding late responding normal tissue. This finding removes the

advantage conferred by multiple dose fractions, and led to the suggestion that “*if the fractionation sensitivity is the same for the tumor and the surrounding late-responding normal tissue, much smaller numbers of fractions (with an appropriately reduced dose) would be expected to be at least as efficacious, but logistically and financially advantageous.*” This technique of using smaller numbers of larger dose fractions has come to be known as prostate cancer hypofractionation.

Later in the same year, Duchesne and Peters (6) independently questioned the magnitude of the α/β ratio for prostate cancer, and concluded that there was strong circumstantial evidence for a low α/β ratio similar to that for late-responding normal tissue, and argued that “*the use of hypofractionated brachytherapy may, in fact, be beneficial rather than merely expedient, and may increase the therapeutic ratio for treatment of clinically localized prostate cancer.*”

Since these two papers were published in 1999 there have been two principal developments: first, there have been a number of independent attempts to estimate the value of the α/β ratio for prostate cancer from clinical data. These are summarized in *Table 1* (7-15). The results, despite sometimes wide confidence limits, are generally much lower than the typical values (16) for other types of tumors, supporting the original hypothesis (5) that prostate cancer responds to fractionation more like a late-responding tissue rather than an early responding tissue. Although there is no conclusive evidence—despite hints in the reports by Valdagni *et al.* (17) and Pollack *et al.* (18,19)—it might well be expected that advanced aggressive prostate cancers may not have such low α/β ratios, in which case

hypofractionation may not be appropriate for such cases.

Second, investigators across the globe have set out to design prospective trials to test the hypofractionation hypothesis in prostate cancer. Initially non randomized trials, and more recently randomized trials have been reported (19-28), some with only around a hundred patients but more recently several with 1,000 to 3,000 patients. These randomized trials are based on two quite different objectives: Some are designed to show that hypofractionation gives results as good as conventional therapy, i.e., a “non-inferiority” design. The advantage of hypofractionation would then be convenience and economic. Other trials are designed to show that hypofractionation gives better results than the conventional fractionation, either improved tumor control or reduced morbidity or both, a “superiority” design.

Table 2 summarizes the results of eight randomized clinical trials that have been published (19-28) of moderately hypofractionated radiation therapy for prostate cancer. Moderately hypofractionated is defined here as using between 2.5 and 3.5 Gy per fraction. The trials vary considerably in size, with some accumulating several hundred patients while others involve thousands of subjects. The trials also vary considerably in regard to the hypofractionated dose and dose per fraction used. The four earlier smaller randomized studies are at the top of *Table 2*, with the four later, larger randomized studies in the lower half of the table.

Focusing on the four larger randomized trials, the PROFIT trial (28) and the CHHiP trial (26) both used 3 Gy fractions, the CHHiP trial using a total dose of either 57 or 60 Gy, and the PROFIT trial using 60 Gy, with median follow up of 5 or 6 years, respectively. Both of these large studies showed that hypofractionation was non-inferior in regard to tumor control, and showed no significant differences in terms of late sequelae. The RTOG-0415 study (27) used a lower dose per fraction (2.5 Gy), but a much larger total dose (70 Gy), and had a median followup of 69 months; as with the PROFIT and CHHiP trials, the RTOG-0415 study demonstrated non-inferiority for hypofractionation in terms of tumor control, but there was an increase in grade 2+ late GI and GU toxicity. Finally the HYPRO trial (23-25) used a significantly higher dose per fraction (3.4 Gy) and total dose (64.6 Gy) as compared with the PROFIT and CHHiP trials; the HYPRO trial showed non superiority of the hypofractionation arm in term of tumor control, but also showed higher grade 2+ GI (but not GU) acute

toxicity and higher grade 3+ genitourinary (GU) [but not gastrointestinal (GI)] toxicity; these toxicity increases can be understood in terms of the high dose per fraction and dose that were used in the HYPRO file.

Two specific observations are in order: (I) of the four large studies, the only one to show significant increased normal tissue toxicity used the largest dose per fraction; (II) the only study that showed significant superiority (as opposed to non-inferiority) for hypofractionation in terms of tumor control was the trial by Yeoh *et al.* (20). However there are several caveats; first, it was a comparatively small study with only 217 patients, and second the comparability in terms of risk levels between the hypofractionated and conventional arms may have been questionable (20).

Overall, while non-inferiority of hypofractionation in terms of tumor control now seems well established, it is evident that the “superiority trials”, based on the hypothesis that moderate hypofractionation would increase tumor control efficacy compared with conventional fractionation, have produced negative results; however this was to be expected since, in retrospect, it was an over interpretation of the original hypothesis to expect hypofractionation to be superior to conventional fractionation. To quote from the original paper (5) “*Appropriately designed hypofractionation regimes would be expected to maintain current levels of tumor control and late sequelae, but with reduced acute morbidity, together with the logistic and financial advantages of fewer number of fractions*”. With the possible exception of reduced acute morbidity—which seems to be largely the same—these 1999 predictions have been born out by the subsequent randomized clinical trials. Indeed, it may now be possible to suggest evidence-based guidelines for moderate hypofractionated regimens (29).

Extreme hypofractionation for prostate cancer radiotherapy

All the discussions above have referred to so called “moderate” hypofractionation which is usually defined as involving dose per fraction of 2.5 to 3.5 Gy, and fraction numbers of at least 15. There have also been a number of trials reported in which still smaller number of fractions, 4 to 12, have been used with large doses per fraction of 4 to 10 Gy—so called extreme hypofractionation. Such protocols have been made possible through the advantageous dose distributions produced by stereotactic body radiation therapy (SBRT), or robotic radiosurgery, or proton therapy. The early clinical results to date from extreme fractionation have

Table 2 Results from randomized trials of moderately hypofractionated radiation therapy for prostate cancer. The more recent larger trials are shown in the lower half of the table

Trial	Number of patients	Years of accrual	Risk groups	Hypofractionated total dose; dose per fraction; technique	Conventional fractionation dose/ dose per fraction	Median follow-up (months)	Toxicity	Tumor control
Yeoh <i>et al.</i> 2011 (20)	217	1996–2003	Mixed risk groups	55 Gy; 2.75 Gy; mostly 2D RT	64/2 Gy	90	No difference in late GI or GU toxicity at 60 months	Hypofractionation superior
Arcangeli <i>et al.</i> 2017 (21)	168	2003–2007	Predominantly high-risk groups	62 Gy; 3.1 Gy; 3D CRT	80/2 Gy	108	Similar toxicity	Hypofractionation non-superior
Pollack <i>et al.</i> 2013 (19)	303	2002–2006	Predominantly high-risk groups	70.2 Gy; 2.7 Gy	76/2 Gy	68.4	Similar late toxicity; patients with preexisting urinary symptoms had increased late toxicity	Hypofractionation non-superior
Hoffman <i>et al.</i> 2014 (22)	203	2001–2010	Intermediate-risk groups	72 Gy; 2.4 Gy; IMRT	75.6/1.8 Gy	72	Non-significant increase in late GI toxicity associated with dosimetric factors	Hypofractionation non-superior
Incrocci <i>et al.</i> 2016; Aluwini <i>et al.</i> 2015, 2016, HYPRO trial (23–25)	820	2007–2010	Intermediate/high-risk groups	64.6 Gy; 3.4 Gy; 3 fractions/week; mostly IMRT	78/2 Gy	60	Higher grade 2+ GI but not GU acute toxicity; higher grade 3+ GU but not GI late toxicity	Hypofractionation non-superior
Dearnaley <i>et al.</i> 2016, CHHIP trial (26)	3,216	2002–2010	Intermediate-risk groups	57 and 60 Gy; 3 Gy; IMRT	74/2 Gy	62	No significant difference in late toxicity	Hypofractionation (60 Gy) non-inferior
Lee <i>et al.</i> 2016, RTOG-0415 (27)	1,092	2006–2009	Low-risk groups	70 Gy; 2.5 Gy; 3D CRT or IMRT	73.8/1.8 Gy	69	Increased grade 2+ late GI and GU toxicity	Hypofractionation non-inferior
Catton <i>et al.</i> 2017, PROFIT trial (28)	1,206	2006–2011	Intermediate-risk groups	60 Gy; 3 Gy; 3D CRT or IMRT	78/2 Gy	72	No significant difference in late toxicity	Hypofractionation non-inferior

RT, radiotherapy; 3D CRT, three dimensional conformal radiotherapy; IMRT, intensity-modulated radiotherapy; GU, genitourinary; GI, gastrointestinal.

been reviewed by Höcht *et al.* (30). In summary, while the tumor control has been excellent, moderate to high grade acute toxicity has typically been very high, ranging from 10% to 20%. Most of the extreme hypofractionation studies are not yet mature enough to report late sequelae (31).

It is important to emphasize that the rationale for the use of extreme hypofractionation is not based solely on the low α/β ratio characteristic of prostate cancer. Such treatments are made possible because of technological advances in dose delivery that enable the delivery of a very large dose of radiation to a tumor with reduced margins and a high dose gradient outside the target area. As a consequence, the volume of normal tissue exposed to high doses of radiation is greatly reduced. These highly conformal techniques have already shown impressive results for the lung and brain, and are considered particularly suitable to treat small tumors embedded in a normal tissue where the functional subunits are in parallel (32). However in the context of prostate radiotherapy, both the rectum and the bladder respond, at least in large part, as serial organs (33,34).

Most of the extreme hypofractionation studies for prostate cancer reported to date were not randomized (30). Early results from a phase 2 randomized study of extreme hypofractionation have been reported by Lukka *et al.* (35) (RTOG-0938, 5 \times 7.25 *vs.* 12 \times 4.3 Gy/fraction; 1 year follow-up), and from a phase III study by Widmark *et al.* (36) (HYPO-RT-PC, 7 \times 6.1 Gy/fraction; 2 year follow-up). These, and several other non-randomized extreme hypofractionation studies, show early promise, but of course both tumor control and normal tissue toxicities remain to be fully assessed. In this regard, a number of phase III trials of extreme hypofractionation are ongoing, focusing specifically on use of just 5 fractions: The doses per fraction vary from 7.25 (37) to 7.6 Gy (38) and up to as high as 8 Gy (39). Dose delivery is highly conformal in these studies, either through the use of SBRT (37,39) or with proton therapy (38). The two issues that hopefully will be addressed in these trials are (I) tumor control: in particular a concern is whether as few as 5 fractions will be sufficient to overcome tumor hypoxia (40), and (II) late sequelae: in particular whether the excellent dose distributions used in these studies will be sufficient to limit late sequelae from these quite aggressive—at least in terms of biologically effective dose (29)—protocols. These two, as yet answered, questions lie at the heart of the potential utility of extreme hypofractionation for prostate cancer.

Conclusions

Prostate cancer hypofractionation represents a pleasing example of successful translational cancer research. The initial suggestions for moderate prostate hypofractionation (5,6) grew out of a hard-won mechanistic understanding (3) of the fundamental basis of fractionation in radiotherapy, and progressed—after much debate in the literature—to non-randomized and now randomized clinical trials. Moderate hypofractionation now seem likely to become standard of care in prostate cancer radiotherapy. The potential utility of extreme hypofractionation is not, however, yet established.

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Footnote

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