

Common applications of next-generation sequencing technologies in genomic research

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Abstract: Next-generation sequencing (NGS) technologies have progressive advantages in terms of cost-effectiveness, unprecedented sequencing speed, high resolution and accuracy in genomic analyses. To date, these high-throughput sequencing technologies have been comprehensively applied in a variety of ways, such as whole genome sequencing, target sequencing, gene expression profiling, chromatin immunoprecipitation sequencing, and small RNA sequencing, to accelerate biological and biomedical research. However, the massive amount of data generated by NGS represents a great challenge. This article discusses the available applications of NGS technologies, presents guidelines for data processing pipelines, and makes suggestions for selecting suitable tools in genomics, transcriptomics and small RNA research.

Key Words: Next-generation sequencing; DNA sequencing; RNA sequencing; small RNA sequencing



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Introduction

High-throughput molecular analysis is a well-known technology that plays an important role in exploring biological questions in many species, especially in human genomic studies. Over the past 20 years, gene expression profiling, a revolutionary technique, has been widely used for genomic identification, genetic testing, drug discovery, and disease diagnosis, among other things (1). The field of genomics and proteomics research has undergone neoteric fluctuations as a result of next-generation sequencing (NGS), a paradigm-shifting technology that provides higher accuracy, larger throughput and more applications than the microarray platform (2-4). The use of massively parallel sequencing has increasingly been the object of study in recent years. The NGS technologies are implemented for several applications, including whole genome sequencing, *de novo* assembly sequencing, resequencing, and transcriptome

sequencing at the DNA or RNA level. For instance, *de novo* assembly sequencing assembles the genome of a particular organism without a reference genome sequence (5), which may lead to a better understanding at the genomic level and may assist in predicting genes, protein coding regions, and pathways. In addition, resequencing the organism with a known genome can help in understanding the relationship between genotype and phenotype and identify the differences among reference sequences (6,7). In addition, NGS technologies have been widely used to analyze small RNAs (8-10), including identification of differentially expressed micro RNAs (miRNAs), prediction of novel miRNAs, and annotation of other small non-coding RNAs.

Currently, there are several companies implementing different NGS technologies, such as Illumina (<http://www.Illumina.com>), Roche (<http://www.454.com>), ABI Life Technologies (<http://www.lifetechnologies.com>), Helicos Biosciences

(<http://www.helicosbio.com>), Pacific Bioscience (<http://www.pacificbiosciences.com>), and Oxford Nanopore (<http://www.nanoporetech.com>). *Table 1* provides a list of some popular NGS instruments and summarizes their respective pros and cons.

In this review, we begin by discussing preprocessing procedures, i.e., converting raw images into a final set of sequence reads. We then provide an overview of analytic pipelines and recommend some bioinformatics tools that were recently proposed in studies using next-generation DNA and RNA sequencing. Finally, we discuss the small RNA sequencing analytic workflow, annotation databases, and discovery of novel small RNAs by NGS technologies.

DNA sequencing data analysis

Preprocessing procedures

During each run from any NGS platform, several terabytes of raw image data are generated and converted to the FASTQ format files for further analysis. Image analysis uses raw images to locate clusters, export the positions and intensity, and estimate the noise for each cluster. The base-calling step identifies the sequence of base reads from each cluster and filters uncertain or low quality reads. If multiple samples are loaded and run on the same lane, a demultiplexing step is required to identify each sample by its individual index sequences (called “barcodes”). The CASAVA package developed by Illumina handles these preprocessing procedures; likewise, the Bioscope package developed by ABI can be used for preprocessing data in SOLiD format.

Read alignment

The read alignment in genomics, also called reference-based assembly (11), is utilized by read alignment tools (*Table 2*) to align several hundred or thousand millions of reads back to an existing reference genome. MAQ (12) is based on the idea of a “spaced seed indexing” strategy to map reads to a reference sequence. BFAST (13) is known for its speed and accuracy on mapping. Novoalign (14) uses the Needleman-Wunsch algorithm and affine gap penalties to find the globally optimum alignment. Burrows-Wheeler Aligner (BWA) (15) is based on Burrows-Wheeler Transformation indexing (59), including the BWA-short algorithm that queries short reads up to ~200 bp with a low error rate and the BWA-SW algorithm that queries long reads with a high error rate. SOAP3, the most recent version of SOAP,

supports Graphics Processing Unit (GPU)-based parallel alignment and takes less than 30 seconds for a one-million-read alignment onto the human reference genome (16).

De novo assembly

The *de novo* approaches particularly concentrate on grouping short reads into significant contigs and assembling these contigs into scaffolds to reconstruct the original genomic DNA for novel species. The crucial challenge of *de novo* assembly is that the read length is shorter than repeats in the genome (60). To overcome this problem, three strategies have been proposed (61). First, Warren *et al.* (17) developed VCAKE, a modification of simple k-mer extension, which is based on the greedy graph approach to assemble millions of reads using high-depth coverage to reduce the error rate. Second, Newbler *et al.* (18) used the overlap/layout/consensus method to deal with the ambiguous reads within the 454 platform. Lastly, Velvet, a well-known assembler, is applied by the extension of useful graph simplification to reduce the path complexity of the *de Bruijn* graph (19).

Single nucleotide variant (SNV) detection

After assembling the reads, the next step in analytic pipelines is using a tool to call SNVs for identification of genetic variants. GATK (20) processes re-alignment insertions/deletions (indels), performs base quality recalibration, calls genotypes, and distinguishes true segregating variation by machine learning to discover and genotype variations among multiple samples. SAMtools (21) computes genotype likelihood to call SNVs or short indels. VarScan/VarScan2 (22,23) employs heuristic methods and a statistical test to detect SNVs and indels. SomaticSniper (24) and JointSNVMix (25) use the genotype likelihood model of MAQ and two probabilistic graphical models, respectively, to assess the probability of the differences between tumor and normal genotypes.

Structural variation detection

While SNVs are considered a small genetic change, “structural variation” generally implies a large DNA alteration, approximately 1 kb to 3 Mb in length. Structural variation includes indels, copy-number variants (CNVs), inversions, and translocations (62). A powerful software module for structural variation detection called BreakDancer provides genome-wide screening for large

Table 1 Comparison of next generation sequencing platforms

Company	Sequencing Principle	Detection	System platform	Read length (bp)	Number of Reads	Time/run	Throughput/run	Accuracy	Machine cost (\$)	Advantage	Disadvantage
Illumina	Reversible terminator sequencing by synthesis	Fluorescence/Optical	HiSeq 2500/1500	36/50/100	3 billion (SE)	2-11 days	600 GB	> 99%	740,000	Very high throughput; Cost-effective; Steadily improving read lengths; Massive throughput	Long run time; Short read lengths; Expensive instrument; Lower error rate
			Genome Analyzer IIX	35/50/75/100	320 million (SE)	2-14 days	95 GB	> 99%	250,000	High throughput; The most widely used platform	Low multiplexing capability of samples
			MiSeq	25/36/100/150/250	17 million (SE)	4-27 hours	8.5 GB	> 99%	125,000	High throughput; Cost-effective; Short run times; Appropriate for microbial applications; Minimal hands-on time; High coverage	Short read lengths
Roche	Pyrosequencing	Optical	454 GS FLX+	700	1 million	23 hours	0.7 GB	99.997%	450,000	High throughput; Longer read lengths; Short run times; High coverage	Appreciable hands-on time; High reagent costs; Higher error rate in homopolymers regions
			454 GS Junior	400	1 million	10 hours	0.035 GB	> 99%	108,000	Longer read lengths; Short run times	
Helicos Biosciences	Single molecule sequencing	Fluorescence/Optical	Heliscope	25-55 (average: 32)	600-800 million	8 days	37 GB	99.99%	999,000	Single-molecule nature of technology; Non-bias representation of templates for genome	Expensive instrument; Very short read lengths (increase cost and difficulty of assembly); Higher error rate

Table 1 (continued)

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Company	Sequencing Principle	Detection	System platform	Read length (bp)	Number of Reads	Time/run	Throughput/run	Accuracy	Machine cost (\$)	Advantage	Disadvantage
ABI Life Technologies	Ligation	Fluorescence/Optical	5500 SOLiD	75+35	1.4 billion	7 days	90 GB	99.99%	350,000	High throughput; Lowest reagent cost	Long run times; Very short read lengths (increase cost and difficulty of assembly)
			5500xl SOLiD	75+35	2.8 billion	7 days	180 GB	99.99%	595,000	Very high throughput; Low error rate; Massive throughput	
	Proton detection	Change in pH detected by Ion-Sensitive Field Effect Transistors (ISFETs)	Ion Personal Genome Machine (PGM)	35/200/400	12 million	2 hours	2 GB	> 99%	80,000	Short run times; Low cost per sample; Appropriate throughput for micro-bial applications; Direct measurement of nucleobase incorporation events	Appreciable hands-on time; High reagent costs; Higher error rate in homopoly-mers (sequential washing steps)
			Ion Proton Chip I/II	Up to 200	60-80 million	2 hours	10 GB / 100 GB	> 99%	243,000	Short run times; Flexible chip reagents	Instrument not available at time of writing
Pacific Bioscience	Real-time, single molecule DNA sequencing	Fluorescence/Optical	PacBio RS	Average: 3000	~50 K	2 hours	13 GB	84-85%	750,000	Short run times; Very long read lengths; Low reagent costs; Simple sample preparation	No paired reads; Highest error rates; Expensive instrument; Difficult installation
Oxford Nanopore	Nanopore exonuclease sequencing	Electrical Conductivity	gridION	Tens of Kb	4-10 million	According to experiment	Tens of GB	96%	According to experiment	Extremely long read lengths; Low cost of α -HL nanopore production; Customization; No fluorescent labeling; No optics	4% error rates; Cleaved nucleotide may be read in the wrong order; Difficult to fabricate a device with multiple parallel pores

Table 2 Tools for next-generation sequencing data analysis			
Category	Tool	Platform	Reference
DNA-seq			
Alignment/mapping	MAQ	Illumina/ABI	(12)
	BFAST	Illumina/Roche/ABI/Helicos	(13)
	Novoalign	Illumina/Roche	(14)
	BWA	Illumina/ABI	(15)
	SOAP3	Illumina/Roche/ABI	(16)
<i>De novo</i> assembly	VCAKE	Illumina/Roche	(17)
	Newbler	Roche	(18)
	Velvet	Illumina/Roche/ABI	(19)
SNV detection	GATK	Illumina/Roche/ABI	(20)
	SAMtools	Illumina/Roche	(21)
	VarScan/VarScan2	Illumina/Roche/ABI	(22,23)
	SomaticSniper	Illumina	(24)
	JointSNVMix	Illumina	(25)
Structural variation detection	BreakDancer	Illumina/Roche/ABI	(26)
	VariationHunter	Illumina	(27)
	SVDetect	Illumina/ABI	(28)
	PEMer	Illumina/Roche/ABI	(29)
RNA-seq			
<i>De novo</i> transcriptome assembly	Trinity	Illumina/Roche/ABI*	(30)
	Trans-AbySS	Illumina/Roche/ABI	(31)
	Oases	Illumina/Roche/ABI	(32)
Alignment/mapping	Bowtie/Bowtie2	Illumina/Roche/ABI	(33,34)
	TopHat	Illumina/Roche/ABI	(35)
Counting reads per transcript	HTSeq	Illumina/Roche/ABI	(36)
	Cufflinks	Illumina/Roche/ABI	(37-40)
Normalization, bias correction, and statistically testing differential expression	DESeq	Illumina/Roche/ABI	(41)
	baySeq	Illumina/Roche/ABI	(42)
	edgeR	Illumina/Roche/ABI	(43)
	Cufflinks	Illumina/Roche/ABI	(37-40)
Small RNA-seq			
Adapter trimming	cutadapt	Illumina/Roche/ABI	(44)
	Flicker	Illumina	(45)
	FASTX Clipper	Illumina	(46)
	scythe	Illumina	(47)
Quality control	NGS QC Toolkit	Illumina/Roche	(48)
	FASTQ Quality Filter	Illumina	(46)
Quality Viewer	FastQC	Illumina/Roche	(49)
	qrc	Illumina/Roche/ABI	(50)
Alignment/mapping	Bowtie/Bowtie2	Illumina/Roche/ABI	(33,34)

Table 2 (continued)

Table 2 (continued)

Category	Tool	Platform	Reference
miRNA prediction	DSAP	Illumina/Roche/ABI	(51)
	miRanalyzer	Illumina/Roche/ABI	(52)
	miRDeep/miRDeep2	Illumina/Roche/ABI	(53,54)
	MiReNA	Illumina/Roche/ABI	(55)
	mirExplorer	Illumina/Roche/ABI	(56)
	miRTRAP	Illumina/Roche/ABI	(57)
	miRDeep-P	Illumina/Roche/ABI	(58)

*If data are strand-specific, the reads should be oriented identically to that reported by Illumina

structural variants and detects small indels by integrating evidence across multiple samples and libraries (26). Additional tools are available, such as VariationHunter, which predicts structural variations based on the maximum parsimony principle (27); SVDetect, a chromosomal visualization tool that supports both paired-end and mate-pair sequencing data to predict intra- and inter-chromosomal rearrangements (28); and PEMer, which includes three modules for detection, simulation and annotation of structural variations (29).

RNA sequencing data analysis

Besides exploring the human genome with DNA sequencing (DNA-seq) analysis, high-throughput sequencing has been applied to study RNA transcripts, typically referred to as RNA-seq or transcriptome-seq, and has provided comprehensive knowledge of both genomics and genetics. After the identical preprocessing procedures as in DNA-seq data analysis, RNA-seq data can be used for *de novo* transcriptome assembly, expression profiling analysis, variant calling and transcriptomic epigenetics.

De novo transcriptome assembly

While *de novo* DNA assembly is aimed toward building genomic scaffolds for novel species without reference, *de novo* assembly of RNA-seq data sketches an overview and extracts clues to the “transcriptome.” Current *de Bruijn* graph-based transcriptome assemblers include Trinity (30), featuring three-step assembly (Inchworm for assembly, Chrysalis for clustering, and Butterfly for processing); Trans-AbySS (31), addressing variation in local read densities; and Oases (32), which introduces dynamic error removal adapted to RNA-seq expression levels. The assembled long RNA contigs can then be annotated with

respect to closely related species to fully explore the genome using Basic Local Alignment Search Tool (BLAST), and may serve as a reference for further abundance profiling.

Expression profiling analysis

The predominant application of RNA-seq is currently to profile gene expression levels and identify differentially expressed transcripts among groups of samples. Typical analysis of RNA-seq data for this purpose includes procedures of mapping reads against reference, counting reads per transcript, and statistical testing for differential expression (Figure 1).

In mapping RNA-seq reads, short sequencing reads (FASTQ files) are aligned against the reference sequences (FASTA files), such as annotated genome sequences from the University of California, Santa Cruz (UCSC), the National Center for Biotechnology Information (NCBI), and Ensembl for well-studied species, or against *de novo* assembled RNA transcripts for novel species. Alignment programs include Bowtie (33), whose ultrafast and memory-efficient method is based on Burrows-Wheeler Transformation indexing (59); Bowtie2 (34), which is improved for finding longer or gapped alignments; and TopHat (35), which adds to Bowtie the capability of integrating known and identifying novel splice junctions. These software packages summarize the aligned results into BAM files, which can be visualized with Integrative Genomics Viewer (63,64).

Taking mapped RNA-seq reads, a Python-based tool, HTSeq (36), extracts read counts for each transcript. The read counts represent raw expression levels of transcripts and are used for statistically testing differential expression among samples subjected to different drug treatments or taken from patients with and without a certain disease. Realizing that the expression distribution of RNA-seq data is different from conventional microarrays (65,66),

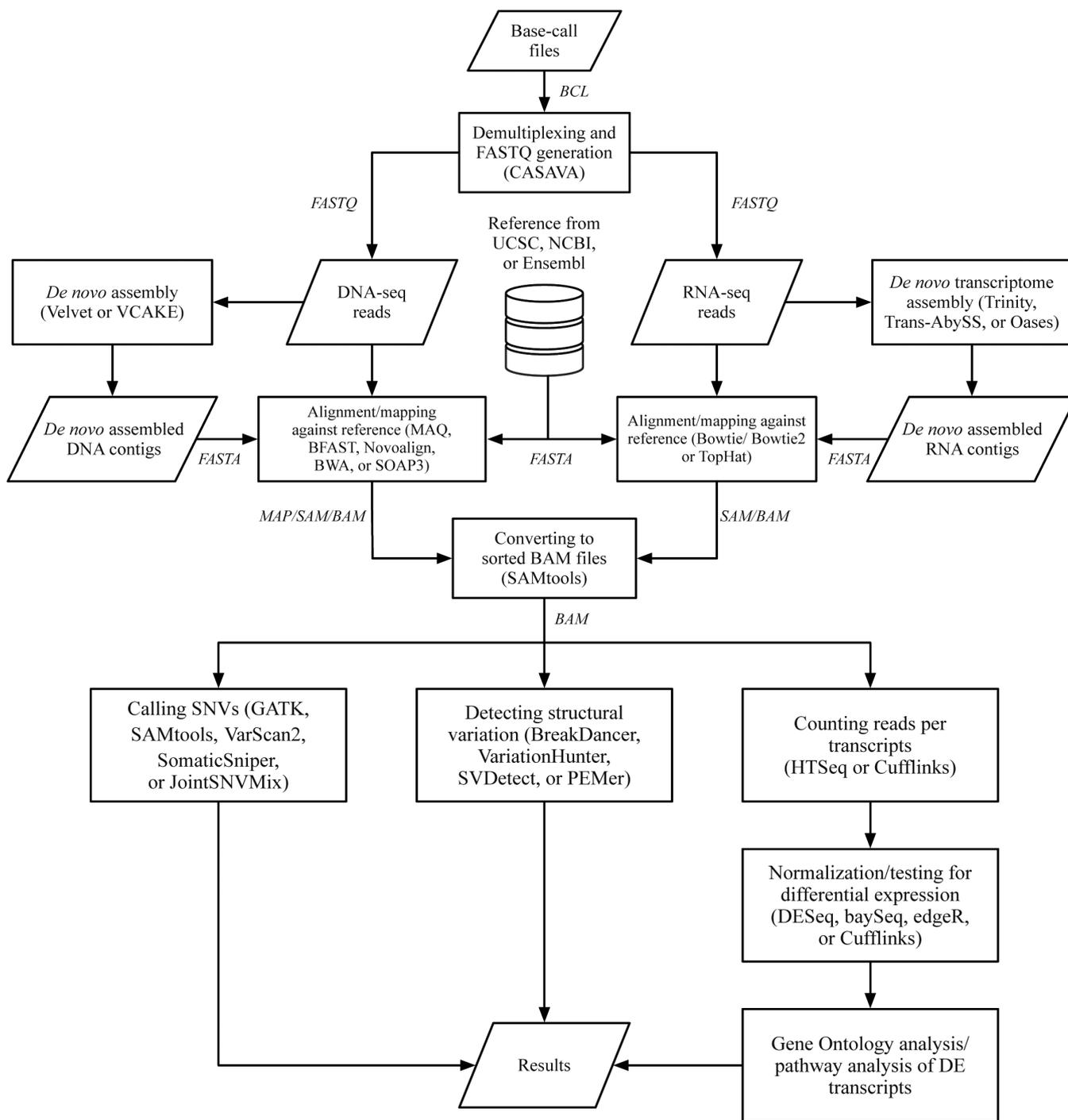


Figure 1 Steps for analytic strategies of DNA-seq and RNA-seq

statisticians and biologists have developed tools for normalizing, bias correcting, and statistically testing RNA-seq read counts of transcripts based on Poisson or negative binomial (NB) distributions. DESeq (41), an R/

Bioconductor package based on the NB distribution with adjustments by local regression; baySeq (42), which employs NB statistics and empirical Bayesian approaches; and edgeR (43), which uses the over-dispersed Poisson model

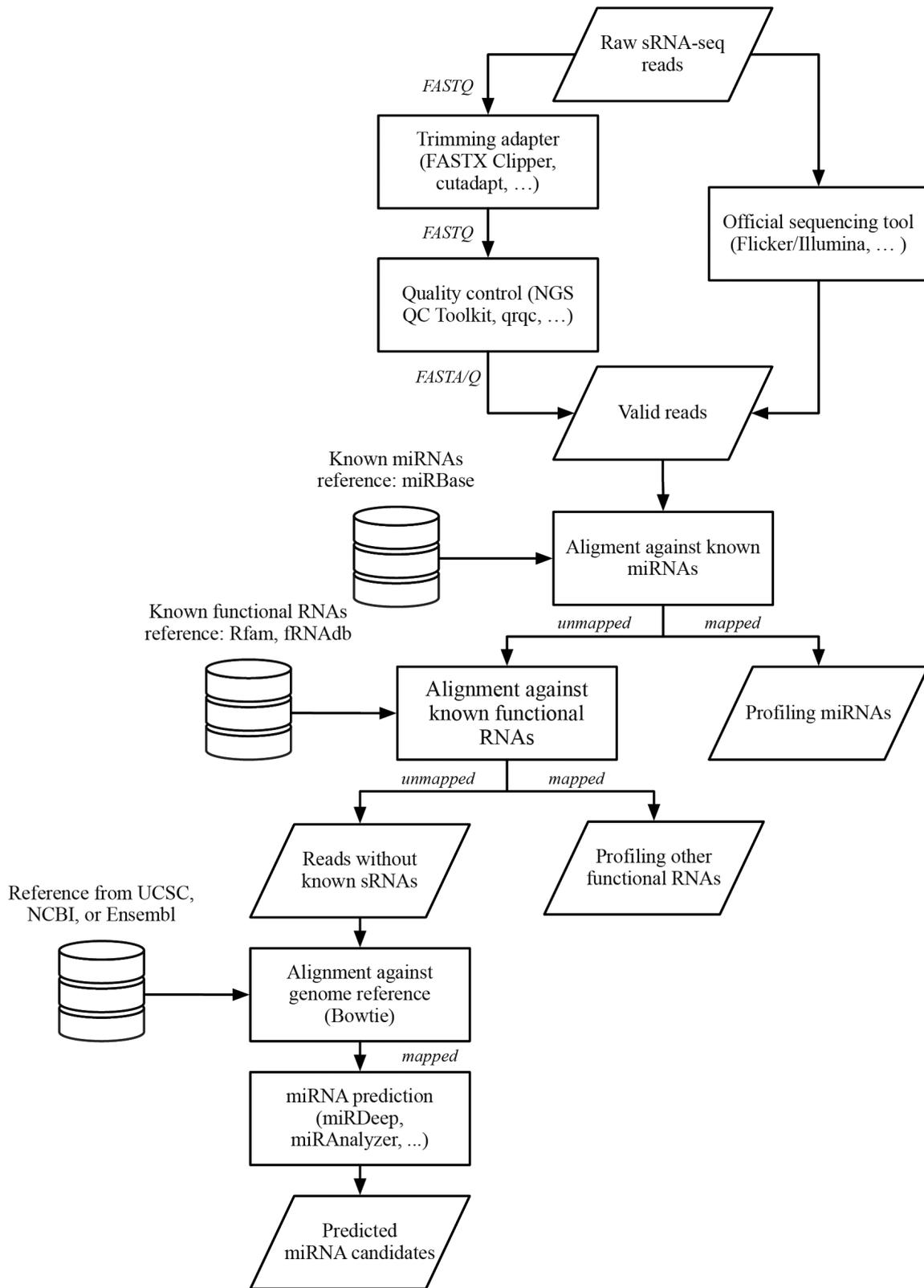


Figure 2 Steps for analytic strategies of small RNA-seq

combined with empirical Bayesian methods; are three frequently used tools for detecting differential expression of transcripts among a set of sequencing samples. In addition to the mentioned tools, the Cufflinks package (37-40) provides integrated solutions for assembling transcripts, estimating the abundances of transcripts, and testing differential expression. For further biological insights, the differential expression of transcripts can be analyzed for Gene Ontology and pathway enrichment, with methods identical to those implemented in conventional microarray data analyses.

Variant calling and transcriptomic epigenetics

As an alternative to whole-genome DNA sequencing for calling variants (i.e., mutations and single nucleotide polymorphisms), RNA sequencing provides a cost-efficient way for discovering coding variants. Several studies have successfully identified variants in vertebrates from RNA-seq data (67-69). In addition to calling variants upon alignment to reference sequences with SAMtools (21), Hill *et al.* recently proposed the mutation mapping analysis pipeline for pooled RNA-seq (MMAPPR) (70). With three-step analysis of allele frequency distance calculation, signal processing, and candidate SNP identification, MMAPPR was capable of identifying novel mutants that were biologically validated in zebra fish (70).

Transcriptomic epigenetics via RNA-seq has attracted growing research focus. Efforts in novel research areas, such as transcription start site-associated RNAs (71), promoter-associated RNAs (72), transcription-initiation RNAs (tiRNAs) (73), and long interspersed non-coding RNAs (lincRNAs) (74,75), may facilitate investigations into complex transcriptional regulation (76). However, more bioinformatic and biostatistical input is required before automated tools for complicated RNA-seq data analysis come into practice (77).

Small RNA sequencing

Many classes of small RNAs (sRNAs), such as miRNA, piwi-interacting RNA (piRNA), and small interfering RNA (siRNA), have been reported to play an important role in post-translational regulation of gene expression. Next generation small RNA sequencing (sRNA-seq) technology has now become a gold standard for both sRNA discovery and sRNA profiling, because it is able to sequence the entire complement of sRNAs in a sample with high sensitivity.

The following describes a typical workflow and the tools involved.

General workflow

Though the sRNA-seq workflow depends on the application and sequencing platform one uses, some major steps shown in *Figure 2* are generally followed. A library consisting of raw cDNA reads is obtained directly after sequencing. First, reads containing sequences of adapters should be trimmed off by using either an official toolkit provided by the company of the sequencer, such as Flicker by Illumina, or third-party toolkits, such as FASTX Clipper of FASTX Toolkit (46), scythe (47), or cutadapt (44). Second, reads having too low overall quality should be discarded using tools like FASTQ Quality Filter of the FASTX Toolkit or the NGS QC Toolkit (48). Next, tools like FastQC (49) or qrc (50) in R/Bioconductor are used to check the quality statistics visually. Finally, since NGS may produce erroneous reads, the filtered reads should be validated by aligning to a reference genome database. For short read alignment, Bowtie/Bowtie2 are commonly used because they implement an optimized, memory-efficient algorithm and provide many built-in indexes for the genome database reference (33,34).

Some databases are commonly used in sRNA-seq and should be mentioned at the outset. Rfam is an open-access, annotated database providing information about families of non-coding RNAs, such as tRNA, rRNA, and snoRNA (78). miRBase is a database that contains sequences and annotations of all known miRNAs across species; the newest version, miRBase 19, contains around 25,000 mature products in nearly 200 species (79). These two databases help one identify known sRNA reads and one can later choose to either keep these reads or discard them depending on the purpose of the sequencing.

Small RNA prediction

Discovery of new sRNAs is highly facilitated by NGS technology via its massively parallel high throughput of sequencing, which makes it possible to detect sRNAs with lower expression that are hard to find by traditional Sanger sequencing. Methods for identification of miRNAs have been well developed in recent years. There are many distinct algorithms for miRNA prediction, which are implemented in tools such as miRTRAP (57), MIRENA (55), miRExplorer (56), miRAnalyzer (52), miRDeep/miRDeep2

(53,54), and DSAP (51). These tools are mainly designed for animal species. For miRNA prediction in plants, miRDeep-P, a derivative of miRDeep, has been proposed (80). sRNA-seq is also useful in virology (81). Due to the high mutation rates of viruses, sRNA-seq can assist with *in silico* reconstruction of viral genomes from the antiviral RNAi response and identify virus-derived small interfering RNAs (vsiRNAs) based on the reference sequence (82). Since prediction tools continue to evolve at a fast pace, there is no consensus about which tool is most preferred, and while several comparisons have been made in the aforementioned references, we will defer to the readers to choose the tool most suitable for their situation (83,84).

miRNA characterization

Profiling of miRNAs is another important sRNA-seq application. It has been reported that the miRNA signature can serve as a biomarker for diseases, tissues, or stages of cell development (85,86) and has been used for drug development (58). Currently, microarrays, quantitative real-time RT-PCR, and sRNA-seq are all widely used for miRNA characterization, and their attributes have been described in detail (87). sRNA-seq provides high accuracy for distinguishing miRNAs with similar sequences, such as isomiRs, and can identify novel miRNAs at the same time. However, it should be pointed out that there are reproducible systematic biases toward different protocols of miRNA library construction due to different usage of RNA ligase (88,89). This bias can be eliminated by pooling different adapters (90). Thus, one should be careful about the protocol a dataset uses when performing differential expression analysis across various datasets. Quantitative RT-PCR can be used as a secondary means of absolute quantification.

Future perspectives

NGS technologies provide opportunities for understanding unknown species and complex diseases. Although different companies implement different platforms with distinctive features and advantages, depend on the number of reads and the read length to ensure assembly quality and accuracy. Therefore, an important issue for future research will be the improvement of methods used for analysis of the huge amount of data produced by NGS. The goals will be to increase the accuracy of assembly sequencing, reduce the processing time, and fine-tune the efficiency of algorithms

for analysis. In order to make the best use of NGS data, the design of state-of-the-art bioinformatics pipelines to extract meaningful biological insights will be a significant topic in the following years. Ultimately, NGS could reveal human genomic information and help to elucidate the function of the genome, which may provide therapeutic regimens for personalized medicine in the future.

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